

RESOLUTION NO. 2016-350

**A RESOLUTION OF THE BOARD OF DIRECTORS
OF THE SOUTH SAN LUIS OBISPO COUNTY SANITATION ("DISTRICT")
AUTHORIZING THE DISTRICT CHAIRMAN TO APPLY FOR A
STATE REVOLVING FUND PLANNING LOAN FOR THE WASTEWATER TREATMENT PLANT
REDUNDANCY PROJECT FROM THE STATE WATER RESOURCES CONTROL BOARD**

WHEREAS, The District is actively pursuing development of improvements at its wastewater treatment plant to improve reliability and allow existing process units to be taken out of service for repairs or maintenance; and

WHEREAS, The District wishes to pursue state funding in order to study, plan and design for appropriate improvements.

NOW, THEREFORE, BE IT RESOLVED by the Board of Directors of South San Luis Obispo County Sanitation District that:

1. The District Chairman (the "Authorized Representative") or designee is hereby authorized and directed to sign and file, for and on behalf of the District, a Financial Assistance Application for a financing agreement from the State Water Resources Control Board for the planning, design, ~~and construction~~ of the Redundancy Project (the "Project").

2. That the Authorized Representative, or his/her designee, is designated to provide the assurances, certifications, and commitments required for the financial assistance agreement from the State Water Resources Control Board and any amendments or changes thereto.

3. That the Authorized Representative, or his/her designee, is designated to represent the District in carrying out the District's responsibilities under the financing agreement, including certifying disbursement requests on behalf of the District and compliance with applicable state and federal laws.

PASSED AND ADOPTED at a meeting of the South San Luis Obispo County Sanitation District held on 15th day of June, 2016.

AYES: John Shoals, Jim Hill, Mary Lucey

NOES: 0

ABSENT: 0



District Chairman

ATTEST:



District Administrator

CERTIFICATION:

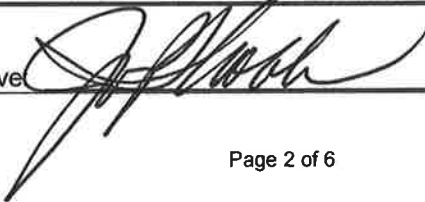
I do hereby certify that the foregoing is a full, true and correct copy of Resolution No. 2016-350, adopted June 15, 2016 by South San Luis Obispo County Sanitation District.


District Secretary

PLANNING OR DESIGN FINANCIAL ASSISTANCE APPLICATION

I. APPLICANT INFORMATION			
Applicant (Entity) Name: South San Luis Obispo County Sanitation District			
Entity Type: <input checked="" type="checkbox"/> Public - Local <input type="checkbox"/> Public - State <input type="checkbox"/> Indian Tribe <input type="checkbox"/> Nonprofit <input type="checkbox"/> Other: Specify _____			
Charter City/County: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Street Address: 1600 Aloha Place	City: Oceano	State: CA	Zip+4 Code: 93445
Mailing Address: 1600 Aloha Place	City: Oceano	State: CA	Zip+4 Code: 93445
Congressional District(s): 24th Congressional District			
State Senate District(s): District 17			
State Assembly District(s): District 35			
County (or Counties): San Luis Obispo County			
Regional Water Board: <input type="checkbox"/> 1 (North Coast) <input type="checkbox"/> 2 (San Francisco Bay) <input checked="" type="checkbox"/> 3 (Central Coast) <input type="checkbox"/> 4 (Los Angeles)			
<input type="checkbox"/> 5 (Central Valley) <input type="checkbox"/> 6 (Lahontan) <input type="checkbox"/> 7 (Colorado River) <input type="checkbox"/> 8 (Santa Ana) <input type="checkbox"/> 9 (San Diego)			
Federal ID No.: 95-2430641		Data Universal Numbering System (DUNS) No.:	
Authorized Representative Name, Title: John Shoals, Chairman - Board of Directors			
Phone No.: (805)		Email Address:	
General Contact Person Name: Gerhardt Hubner, District Administrator			
Phone No.: (805) 202-8291		Email Address: gerhardt@ssllocsd.us	
Financial Contact Person Name: Alex Handlers			
Phone No.: (514) 653-3399		Email Address: alex@bartlewells.com	
Legal Counsel Name: Wendy Stockton			
Phone No.: (805) 668-9752		Email Address: wendylegal55@gmail.com	
Bond Counsel Name (if applicable):			
Phone No.: ()		Email Address:	
II. PROJECT INFORMATION			
Project Title: Redundancy Project			
CWSRF Planning/Design Financing Amount Requested: \$ 1,857,000			
III. PROJECT SERVICE AREA DEMOGRAPHICS			
Active Service Connections			<input type="checkbox"/> Not Applicable
Connection Type	Number of Connections	Current Monthly Service Charge	Projected Monthly Service Charge at Planning Completion*
Residential	15095	\$ 14.86	\$21.56
Commercial	1180	\$ 7.65	\$9.69
Industrial		\$	\$
Other	5604	\$ (See Rate Study - Attachment to General Pkg)	\$
TOTAL	21879	\$	\$
*Rate increase effective date for projected monthly service charges: July 2017			
			State Use Only
			CWSRF Project #
			Project Manager
			Date Received

Date of the most recent Proposition 218 public hearing: February 17, 2016	
Current Year Median Household Income: \$ 48,629-63,564	Current Year Estimated Population Served: 38,000
Are less than 50% of residences permanently occupied? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
IV. REGULATORY INFORMATION	
NPDES Permit and/or WDR Order No.: NPDES No. CA0048003 WDR Order No. R3-2009-0046	
Has enforcement action occurred as a result of the water quality problem? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
V. COMPLIANCE WITH URBAN WATER MANAGEMENT AND WATER RIGHTS REQUIREMENTS	
Are you an Urban Water Supplier*? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<ul style="list-style-type: none"> If yes, have you submitted an Urban Water Management Plan to the Department of Water Resources? 	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
*An Urban Water Supplier provides water for municipal purposes either directly or indirectly to more than 3,000 customers or supplies more than 3,000 acre-feet of water annually. The Urban Water Management Planning Act, Water Code, Section 10631.5, requires every urban water supplier to prepare and adopt an Urban Water Management Plan that includes specific elements.	
Is your entity a water diverter and subject to section 5103 of the Water Code? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
VI. DISCUSSION OF MATERIAL EVENTS, MATERIAL OBLIGATION CONDITIONS, AND ANY DEBT LIMIT	
Identify any current, prior or pending material events such as bankruptcy, defaults, litigation, grant jury findings, unscheduled draws on reserve funds, substitution of insurers or their failure to perform, unscheduled draws on credit enhancements, actions taken in anticipation of filing Chapter 9, rating changes, relevant conditions in material obligations, and any local debt limit.	
<div style="border: 1px solid black; padding: 5px;"> <p>A \$1,109,812.80 Administrative Civil Liability Complaint (ACL Complaint) No. R3-2012-0030 was enacted against the SSLOCSD by the SWRCB (against Order No. 2006-0003-DWQ) and CCRWQCB (against Order No. R3-2009-0046). The ACL Complaint has been issued in response to a 674,480 gallon sanitary sewer overflow occurring on December 19 and 20, 2010 from the gravity trunk sanitary sewer collection system discharged into the waters of the United States, including Oceano Lagoon, Meadow Creek, and the Pacific Ocean.</p> </div>	
VII. ATTACHMENTS	
<input checked="" type="checkbox"/>	1 – Plan of Study
<input type="checkbox"/>	2 – Certification for Compliance with Water Metering Form
<input checked="" type="checkbox"/>	3 – Regional Water Quality Control Board Requirements
<input type="checkbox"/>	4 – Authorizing Resolution/Ordinance
<input type="checkbox"/>	5 - Relevant Service, Management, Operating or Joint Powers Agreements
<input checked="" type="checkbox"/>	6 - Audited Financial Statements
<input checked="" type="checkbox"/>	7 – Rate Adoption Resolution/Ordinance
The following attachments are not required for Small Disadvantaged Communities applying for 100% grant:	
<input checked="" type="checkbox"/>	8 – Pledged Revenues and Fund(s) Resolution/Ordinance
<input type="checkbox"/>	9 – Related Debt

CERTIFICATION AND SIGNATURE OF AUTHORIZED REPRESENTATIVE	
To the best of my knowledge and belief, I certify that I am authorized to submit this application; the information provided in this application is true and correct; the documentation has been duly authorized by the governing body of the applicant; and the entity possesses the legal authority to apply for the financing and enter into a financing agreement with the State Water Resources Control Board and to finance and construct the proposed facilities.	
Name of Authorized Representative: John Shoals	Title: Chairman - Board of Directors
Signature of Authorized Representative: 	Date: 06/20/2016

**CERTIFICATION FOR COMPLIANCE WITH WATER METERING
REQUIREMENTS FOR FUNDING APPLICATIONS**



Funding Entity name: State Water Resources Control Board

Funding Program name: Clean Water State Revolving Fund

Applicant (Entity name): South San Luis Obispo County Sanitation District

Please check one of the boxes below and sign and date this form.

As the authorized representative for the applicant Entity, I certify under penalty of perjury that the Entity is not an urban water supplier, as that term is understood pursuant to the provisions of section 529.5 of the Water Code.

As the authorized representative for the applicant Entity, I certify under penalty of perjury that the applicant Entity has fully complied with the provisions of Division 1, Chapter 8, Article 3.5 of the California Water Code (sections 525 through 529.7 inclusive) and that the ordinances, rules, or regulations submitted with this certification as listed below have been duly adopted and are in effect as of this date.

I understand that the Funding Entity will rely on this signed certification in order to approve funding and that false and/or inaccurate representations in this Certification Statement may result in loss of all funds awarded to the applicant for its project. Additionally, for the aforementioned reasons, the Funding Entity may withhold disbursement of project funds, and/or pursue any other applicable legal remedy.

John Shoals
 Name of Authorized Representative
 (Please print)

[Signature]
 Signature of Authorized Representative

Chairman - Board of Directors
 Title

06/20/2016
 Date